

# Overview of Non-Perturbative Methods for Strong-Field Physics

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Workshop: *Physics Opportunities at a Lepton Collider in the Fully  
Nonperturbative QED Regime*

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[DOE Division of High Energy Physics]

## Probing Nonlinear QED: Why are we here?

precision study of QFT in extreme (intensity) conditions

complementary to traditional collider physics

applications to future colliders

- classical nonlinear parameter:  $\xi_0 = \frac{e\mathcal{E}}{m c \omega} \sim \frac{eA}{mc}$
- quantum non-linearity parameter:  $\chi_0 = \frac{e\hbar}{m^3 c^4} \sqrt{-(F_{\mu\nu} p^\nu)^2}$   
new nonlinear QED regime:  $\chi_0 \gg 1$

novel perturbative & non-perturbative effects: nonlinear Compton;  
Breit-Wheeler pair production; vacuum instability; cascades;  
mag. moment interaction energy; magnetic catalysis ...

- how to probe this regime with a lepton collider?
- what are the important theoretical issues to be resolved?
- lessons for/from: heavy ions; lasers; colliders; plasma;  
astrophysics; BSM; nonequilibrium; lattice; simulations; ...

## Euler-Heisenberg (1935): QED Effective Action

non-perturbative QED effective action in a constant field:

$$\Gamma[F] = -\frac{1}{8\pi^2} \int_0^\infty \frac{d\eta}{\eta^3} e^{-\eta e \mathcal{E}_{\text{cr}}} \left\{ \frac{e^2 a b \eta^2}{\tanh(eb\eta) \tan(ea\eta)} - 1 - \frac{e^2 \eta^2}{3} (b^2 - a^2) \right\}$$

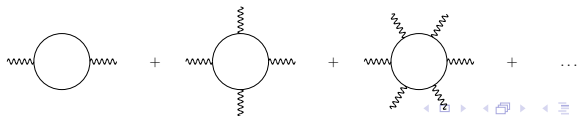
critical field:  $\mathcal{E}_{\text{cr}} = \frac{m^2 c^3}{e \hbar} \approx 10^{16} \text{V/cm}$  (work done in  $\lambda_C$ )

$$a^2 - b^2 = \vec{\mathcal{E}}^2 - \vec{\mathcal{B}}^2 = -\frac{1}{2} F_{\mu\nu} F^{\mu\nu} \equiv -2\mathcal{F}$$

$$ab = \vec{\mathcal{E}} \cdot \vec{\mathcal{B}} = -\frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu} \equiv -\mathcal{G}$$

$$a = \sqrt{\sqrt{\mathcal{F}^2 + \mathcal{G}^2} - \mathcal{F}}, \quad b = \sqrt{\sqrt{\mathcal{F}^2 + \mathcal{G}^2} + \mathcal{F}}$$

perturbative expansion:



polarization of the quantum vacuum by the external field

$$\Gamma = \frac{e^4}{360\pi^2 m^4} \int d^4x \left[ (\vec{\mathcal{E}}^2 - \vec{\mathcal{B}}^2)^2 + 7(\vec{\mathcal{E}} \cdot \vec{\mathcal{B}})^2 \right] + \dots$$

- ▶ non-linear: light-light scattering; vacuum birefringence/dichroism; pair production; ...
- ▶ electric field: non-perturbative imaginary part,  $e^\pm$  pair production:  $\text{Im } \Gamma = \mathcal{E}^2 \text{Li}_2\left(\frac{\pi \mathcal{E}_{\text{cr}}}{\mathcal{E}}\right) \approx \mathcal{E}^2 e^{-\pi \frac{\mathcal{E}_{\text{cr}}}{\mathcal{E}}}$
- ▶ charge renormalization (Heisenberg)
- ▶ divergent (double) series (cf. Dyson, 1950): Borel integral representation of “multiple-gamma function”
- ▶ Weisskopf (1936): strong-field limit:  $\Gamma \sim \beta_0 F^2 \ln(eF/m^2)$

## Euler-Heisenberg (1935): QED Effective Action

- ▶ Euler's thesis (1936): "effective field theory", double-sum over fields & derivatives; Lorentz and gauge invariant local terms

$$\Gamma[A] = \frac{\hbar c}{e^2} \frac{1}{\mathcal{E}_{\text{cr}}^2} \int d^4x \left[ FFFF + \left( \frac{\hbar}{m c} \frac{\partial F}{\partial x} \right)^2 FF + \dots \right]$$

- ▶ contrast Euler/Heisenberg with Serber/Uehling (1935) linear, but space-time dependent, vacuum polarization effects
- ▶ double-sum is doubly divergent
- ▶ how to go beyond the constant field limit?

Feynman:

- ▶ relativistic path integral as sum over Minkowski trajectories parametrized by proper-time
- ▶ conservation of particles in proper-time  $\equiv$  charge conjugation symmetry
- ▶ scattering picture of electron/positron propagation

Schwinger:

- ▶ functional/Fredholm determinants (Jost/Pais, Salam/Matthews, Salam/Strathdee, ...)
- ▶ path integral representation of log det formulation
- ▶ Dirac equation solvable for constant background field (Fock, Nambu) and for plane-wave field (Volkov)
- "non-perturbative" = semiclassical approximation

## Feynman (1949-1951): QED Effective Action

Fock, Stückelberg, Nambu expressed Klein-Gordon and Dirac equation in proper-time form:

$$i \frac{\partial}{\partial u} \Phi = \frac{1}{2} \left( i \frac{\partial}{\partial x_\mu} - A_\mu \right)^2 \Phi \equiv \mathcal{H} \Phi$$

Feynman: amplitude for evolution in  $u$ ,  $\langle x | e^{-i\mathcal{H}u} | y \rangle$

One-loop effective action:

$$\Gamma[A] = - \int_0^\infty \frac{du}{u} e^{-im^2u} \int d^4x \int_{x(u)=x(0)=x} \mathcal{D}x e^{iS[x]}$$

$S[x]$ : classical action for charged scalar in proper time  $u$

$$S[x] = \int_0^u d\tau \left( \frac{1}{2} \left( \frac{dx^\mu}{d\tau} \right)^2 + \frac{e}{c} A_\mu \frac{dx^\mu}{d\tau} \right)$$

Klein-Gordon/Dirac equations, and also classical trajectories, solvable for constant field and plane-wave field

## Schwinger (1951-1954): QED Effective Action

One-loop effective action:  $\langle 0 \sigma_1 | 0 \sigma_2 \rangle \equiv e^{i\Gamma[A]}$

$$\Gamma[A] = -i \ln \det \left( 1 + e \gamma A G_+^{(0)} \right) = -\frac{i}{2} \ln \det \left( 1 - e^2 G_+^{(0)} \gamma A G_+^{(0)} \gamma A \right)$$

local effective Lagrangian  $\mathcal{L}(x)$ :

$$\mathcal{L}(x) = \frac{1}{2} i \int_0^\infty \frac{ds}{s} \exp(-im^2 s) \text{tr}(x | U(s) | x)$$

$$U(s) = \exp(-i \mathcal{H} s) \quad , \quad \mathcal{H} = \Pi_\mu^2 - \frac{1}{2} e \sigma_{\mu\nu} F_{\mu\nu}$$

local current:  $\langle j^\mu(x) \rangle = \frac{\delta \Gamma}{\delta A_\mu(x)} = i e \text{tr}(\gamma^\mu G_+(x, x))$

scattering operator:  $\mathcal{T} \equiv e \gamma A \left( 1 - G_+^{(0)} e \gamma A \right)^{-1}$

$$\left| e^{i\Gamma[A]} \right|^2 = \det \left( 1 + S^{(-)} \mathcal{T} S^{(+)} \bar{\mathcal{T}} \right)^{-1}$$



Volkov (1935): exact solution for plane-wave:

$$A_\mu = A e_\mu f(\phi) \quad , \quad \phi \equiv k \cdot x$$

effective action vanishes (Schwinger, 1951):

$$\Gamma_{\text{PW}} = 0$$

effective action also vanishes for constant-crossed-field (CCF):  
 $\mathcal{E} \perp \mathcal{B}, |\mathcal{E}| = |\mathcal{B}|$  (Euler-Heisenberg, 1935):

$$\Gamma_{\text{CCF}} = 0$$

monochromatic field:  $\mathcal{E}(t) = \mathcal{E} \cos(\omega t)$

effective action:  $\Gamma(\mathcal{E}) \rightarrow \Gamma(\mathcal{E}, \xi_0)$

classical nonlinear parameter [(adiabaticity parameter) $^{-1}$ ]:

$$\xi_0 = \frac{e\mathcal{E}}{mc\omega} \sim \frac{eA}{mc}$$

semiclassical imaginary part:

$$\text{Im } \Gamma \approx e^{-\frac{\xi_{\text{cr}}}{\xi} g(\xi_0)} \sim \begin{cases} e^{-\frac{\pi\xi_{\text{cr}}}{\xi}} & , \quad \xi_0 \gg 1 \quad (\text{non-perturbative}) \\ e^{\frac{\xi_{\text{cr}}}{\xi} 4\xi_0 \ln \xi_0} = \xi_0^{\frac{4mc^2}{\hbar\omega}} & , \quad \xi_0 \ll 1 \quad (\text{perturbative}) \end{cases}$$

tunneling *vs* multi-photon pair production (cf. Keldysh 1964)

## Narozhny/Nikishov (1970): localized fields

$\text{sech}^2$  localized fields: Dirac/Klein-Gordon, and classical trajectories, solvable (hypergeometric)

$$\mathcal{E}(t) = \mathcal{E} \text{sech}^2(t/T) \quad , \quad \xi_0 = \frac{e\mathcal{E}T}{mc} = \frac{\mathcal{E}}{\mathcal{E}_{\text{cr}}} \frac{mc^2T}{\hbar}$$

$$\text{effective action: } \Gamma(\mathcal{E}, \xi_0) = \sum_{n,l} a_{n,l} \left(\frac{\mathcal{E}}{\mathcal{E}_{\text{cr}}}\right)^n \left(\frac{\hbar}{mc^2T}\right)^l$$

exact Borel integral & resummation of derivative expansion:  
Cangemi/GD/D'Hoker (1995); GD/Hall (1998)

semiclassical imaginary part:

$$\text{Im } \Gamma \sim \begin{cases} e^{-\frac{\pi\mathcal{E}_{\text{cr}}}{\mathcal{E}} \left(1 - \frac{1}{4\xi_0^2} + \dots\right)} & , \quad \xi_0 \gg 1 \quad (\text{non-pert.}) \\ e^{-\frac{2\pi m c^2 T}{\hbar} (1 - \xi_0 + \dots)} = e^{-\frac{2\pi\mathcal{E}_{\text{cr}}}{\mathcal{E}} \xi_0 (1 - \xi_0 + \dots)} & , \quad \xi_0 \ll 1 \quad (\text{pert.}) \end{cases}$$

lesson: constant field limit is subtle

(interesting things happen in a localized oscillatory field)

## Locally Constant Field Approximation (LCFA)

LCFA:  $\text{Im } \Gamma \approx \int d^4x \mathcal{E}^2(x) e^{-\pi \frac{\mathcal{E}_{\text{cr}}}{\mathcal{E}(x)}}$  "sometimes works"

the derivative/gradient expansion is divergent

LCFA can fail when  $\mathcal{E}(x)$  changes sign; physically due to quantum interference effects, Stokes phenomenon, ...

reliability of LCFA is strongly dependent on structure [shape and character] of the background field:

difference between spatial and temporal localization

in general, weak-field and broad-field limits **do not commute**

- implications for simulations need to be better understood

mathematical methods for non-perturbative effects:

(i) uniform semiclassical approximation: Seger/Balantekin (1996); GD/Hall (1998); GD/Dabrowski (2014)

(ii) *trans-asymptotics* (O. Costin, 1998) and *resurgence*

## Non-perturbative (Semiclassical) QED Effective Action

“non-perturbative” means stationary phase approximation to the proper-time (Borel) integral; combined with semiclassical approximation to  $\text{tr}(x|U(s)|x)$ , when some parameters become large  $\Rightarrow$  there are different non-perturbative limits

$$\Gamma[A] = - \int_0^\infty \frac{du}{u} e^{-im^2u} \int d^4x \int_{x(u)=x(0)=x} \mathcal{D}x e^{iS[x]}$$

classical "instanton" (saddle) for proper-time path integral (Feynman, 1951):

$$\begin{aligned} \ddot{x}_\mu &= F_{\mu\nu}(x) \dot{x}^\nu \\ \longrightarrow \Gamma[A] &\approx (\text{fluctuations}) e^{iS_{\text{saddle}}[A_{\text{classical}}]} \end{aligned}$$

Affleck et al (1984): worldline instantons for constant  $F_{\mu\nu}$

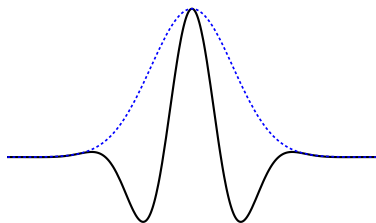
GD/Schubert (1994): worldline instantons for  $F_{\mu\nu}(x)$

[suitable for multi-dimensional fields]

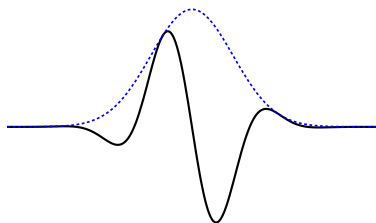
Dumlu/GD (2010): complex saddles for interference

# Non-perturbative (Semiclassical) QED Effective Action

quantum interference in “designer” laser pulses  $\mathcal{E}(t)$



physics dominated by just one saddle



physics dominated by two equal-weight saddles:  
non-trivial interference

goal: optimization via (spatio-temporal) pulse design & control

crucial features: the saddle point locations

## Non-perturbative (Semiclassical) QED Effective Action

other formulations of vacuum pair production problem:

- ▶ scattering picture (Feynman; Pitaevski; ...)
- ▶ Bogoliubov (Popov/Marinov; Schützhold et al; ...)
- ▶ quantum kinetic equation (Mottola et al; Rau et al; Bloch et al; Blaschke et al; ...)
- ▶ Dirac-Heisenberg-Wigner (Bialynicki-Birula et al; Hebenstreit et al; ...)
- ▶ space-time instantons (Kim/Page; ...)
- ▶ nonequilibrium and real-time classical-statistical ensembles (Gelis et al; Berges et al; ...)
- ▶ spectral representation (Fukushima; ...)
- ▶ Schrödinger picture (Vachaspati et al; Padmanabhan; ...)
- ▶ ...

## Some Lessons from Vacuum Pair Production

“Schwinger limit” ( $\mathcal{E} \approx \mathcal{E}_{\text{cr}}$ ) is not a sharp limit: required laser intensity can be lowered by several orders of magnitude by clever design of laser pulses; but it is still beyond current laser intensities

laser pulse shaping has a significant effect on the strength of vacuum polarization effects (cf. strong-field ionization)

frequency  $\omega$ ; pulse length  $\tau$ ; carrier phase  $\theta$ ; chirp; pulse sequences; catalysis, ...

these parameters are used routinely to probe interesting physics in strong-field AMO and CM physics

non-equilibrium & back-reaction effects need further work

question:

can similar physical effects be optimized and/or controlled for lepton bunches?



- Ritus (1975, 1977); Lebedev-Ritus (1984); Fliegner et al (1997):  
Two-loop: exact expression for constant background field



double proper-time integral: each lepton propagator has a "proper-time" integral

renormalization group: strong-field  $\leftrightarrow$  short-distance

two-loop emphasizes importance of the "formation region"

- Gies/Karbstein (2016): extra finite contribution at 2-loop

## Higher loops: two-loop and beyond

Two-loop (Ritus): exact (double-integral) expression for constant background field

mass renormalization in the imaginary part:

$$e^{-\pi m^2/(e\mathcal{E})} \rightarrow e^{-\pi m_{\text{ren}}^2/(e\mathcal{E})}$$

2-loop pair production in  $\mathcal{E}$  field:

$$\text{Im } \Gamma \approx e^{-\pi \frac{\mathcal{E}_{\text{cr}}}{\mathcal{E}}} (1 + \pi \alpha + \dots)$$

Affleck/Alvarez/Manton (1982): weak  $\mathcal{E}$  field, all  $\alpha$  orders

$$\text{Im } \Gamma \approx e^{-\pi \frac{\mathcal{E}_{\text{cr}}}{\mathcal{E}}} e^{\pi \alpha}$$

GD/Schubert (2002): closed 2-loop expression for self-dual field ( $\mathcal{E} = \pm i \mathcal{B}$ ):

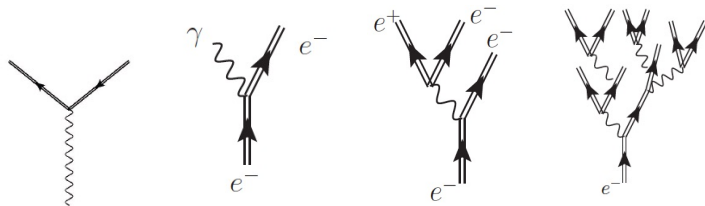
$$\Gamma^{(2)} = c_1 \left( \Gamma^{(1)} \right)^2 + c_2 \left( \Gamma^{(1)} \right)'$$

direct vacuum pair-production is not accessible at available laser intensities

introduce ultra-relativistic probe particles with  $p \approx \frac{\mathcal{E}_{\text{cr}}}{\mathcal{E}} m c$

in rest-frame, electric field is strongly Lorentz-boosted

Breit-Wheeler; nonlinear Compton; trident; cascades; ...



Ritus et al; Baier et al; Milstein et al; Di Piazza et al; Müller et al; Heinzl et al; Marklund et al; Ilderton et al; Hartin et al

...

Furry (1935): exact states/propagators for leptons; normal QED interaction vertices

- (i) constant background field (for e.g., CCF)
- (ii) plane-wave field (now non-vanishing result)

new parameter:

“quantum non-linearity parameter” = amplitude of  $\mathcal{E}$  field:

in electron rest-frame: 
$$\chi_0 = \frac{e \hbar}{m^3 c^4} \sqrt{-(F_{\mu\nu} p^\nu)^2}$$

in  $e^\pm$  center-of-mass-frame: 
$$\kappa_0 = \frac{e \hbar}{m^3 c^4} \sqrt{-(F_{\mu\nu} k^\nu)^2}$$

mass operator:  $M(\mathcal{E}, \xi_0, \chi_0)$



polarization operator:  $P(\mathcal{E}, \xi_0, \kappa_0)$



(i) exact states & propagators in constant field  $F_{\mu\nu}$

(ii) Volkov solution in plane-wave:  $A_\mu = A e_\mu \psi(k \cdot x)$

$$\Psi_{pr}(x) = \left[ 1 + \frac{e \hat{k} \cdot \hat{A}}{2 k \cdot p} \right] u_{pr} \exp \left[ i p \cdot x + i \int_0^{k \cdot x} \left( \frac{e p \cdot A}{k \cdot p} - \frac{e^2 A^2}{2 k \cdot p} \right) d\phi \right]$$

$\Rightarrow$  exact propagators in plane-wave field

first order polarization tensor:  $\Pi_{\mu\nu} = -i e^2 \text{tr} (\gamma_\mu G \gamma_\nu G)$

first order mass operator:  $M = i e^2 \left( \gamma^\mu G \gamma^\nu D_{\mu\nu}^{(0)} \right)$

computations often expressed in terms of matrix elements in Volkov states  $\Rightarrow$

“non-perturbative” = stationary phase approximation

(in general, there are many competing parameters)

Ritus (1972; review, 1984):

in external field, electron always radiates  $\Rightarrow \text{Im}(M) \neq 0$

$\Rightarrow$  analyticity properties of Green's function different from vacuum situation

$\Rightarrow$  Green's function has no singularities on real  $p^2$  axis, but  $M(p^2, \chi_0)$  has an essential singularity at  $\chi_0 = 0$ .

# Ritus-Narozhny Conjecture(s)

conjecture 1:

ultra-relativistic probe particle in a plane wave

$\equiv$

ultra-relativistic probe particle in a constant-crossed-field:  $\mathcal{E} \perp \mathcal{B}, |\mathcal{E}| = |\mathcal{B}|$

conjecture 2:

in high-energy limit,  $\chi_0 \gg 1$ , QED processes scale like  $\alpha \chi_0^{2/3}$

- ▶ this differs from the expected logarithmic behavior of QED processes at high energy
- ▶ these conjectures involve the locally constant field approximation

# Ritus-Narozhny Conjecture(s)

higher loop processes in constant-crossed-field (CCF) have rich structure, again very different from vacuum case:

$$\begin{aligned}
 \frac{\mathcal{P}}{m^2} = & \underbrace{\text{Diagram 1}}_{\simeq \alpha \chi^{2/3} \text{ (Narozhny, 1968 [4])}} + \underbrace{\text{Diagram 2}}_{\simeq \alpha^2 \chi^{2/3} \log \chi \text{ (Morozov\&Narozhny, 1977 [20])}} + \underbrace{\text{Diagram 3}}_{\simeq \alpha^2 \chi^{2/3} \log \chi (?)} + \underbrace{\text{Diagram 4}}_{\simeq \alpha^3 \chi^{2/3} \log \chi \text{ (Narozhny, 1979 [8])}} + \\
 & + \underbrace{\text{Diagram 5}}_{\simeq \alpha^3 \chi^{2/3} \log \chi \text{ (Narozhny, 1979 [8])}} + \underbrace{\text{Diagram 6}}_{\simeq \alpha^3 \chi \log^2 \chi \text{ (Narozhny, 1980 [9])}} + \underbrace{\text{Diagram 7}}_{\simeq \alpha^3 \chi^{2/3} \log^2 \chi (?)} + \underbrace{\text{Diagram 8}}_{\simeq \alpha^3 \chi^{2/3} \log^2 \chi (?)} + \dots
 \end{aligned}$$

review, Fedotov (2019)

→ conjecture that QED expansion parameter is  $\alpha \chi_0^{2/3}$  in the large  $\chi_0$  regime



## Ritus-Narozhny Conjecture(s):

### High Intensity vs. High Energy

Dinu et al (2016), Di Piazza et al (2018), Ilderton (2019), ...

$$P(\mathcal{E}, \xi_0, \kappa_0) \quad \text{or} \quad M(\mathcal{E}, \xi_0, \chi_0)$$

low frequency/CCF limit of a plane wave:

$$\xi_0 \rightarrow \infty \quad \text{with} \quad \chi_0 \quad \text{fixed}$$

high energy limit in a plane wave:

$$\chi_0 \rightarrow \infty \quad \text{with} \quad \xi_0 \quad \text{fixed}$$

relevant regime governed by magnitude of  $\frac{\xi_0^3}{\chi_0}$  (or  $\frac{\xi_0^3}{\kappa_0}$ )

## Ritus-Narozhny Conjecture(s):

### High Intensity & High Energy limits do not commute

Di Piazza et al (2018):  $P(\mathcal{E}, \xi_0, \kappa_0)$

low frequency/CCF limit of a plane wave:

$$\xi_0 \rightarrow \infty \quad \text{with} \quad \kappa_0 \quad \text{fixed}$$

$$\text{Re}(P) \sim \alpha \kappa_0^{2/3} \quad , \quad \xi_0 \rightarrow \infty \quad , \quad \text{large } \kappa_0$$

$$\text{Im}(P) \sim \alpha \kappa_0^{2/3} \quad , \quad \xi_0 \rightarrow \infty \quad , \quad \text{large } \kappa_0$$

high energy limit in a plane wave:

$$\kappa_0 \rightarrow \infty \quad \text{with} \quad \xi_0 \quad \text{fixed}$$

$$\text{Re}(P) \sim \alpha \xi_0^2 \ln^2 \left( \frac{\kappa_0}{\xi_0} \right) \quad , \quad \kappa_0 \rightarrow \infty$$

$$\text{Im}(P) \sim \alpha \xi_0^2 \ln \left( \frac{\kappa_0}{\xi_0} \right) \quad , \quad \kappa_0 \rightarrow \infty$$

detailed scaling behavior (logarithmic versus power-law) is process-dependent, and the limits connecting a plane-wave field and a CCF are subtle

rich area for exploration, especially at higher loops

question: how would one probe these fundamental theoretical issues at a lepton collider, in the large  $\chi_0$  regime?

## Conclusions

- regime of large quantum non-linearity parameter,  $\chi_0$  or  $\kappa_0$ , is *terra incognita*, experimentally and (partly) theoretically
- non-perturbative methods are better-developed for vacuum processes than for processes involving probes & collisions, but several formalisms exist to improve this situation
  - ▶ what are the most important computations to be done?
  - ▶ are new methods/approximations needed?
  - ▶ input from other fields (plasma, laser, astro, nuclear, particle, collider, AMO, CM, ...)
  - ▶ precise relations between QFT and simulations: feedback needed between theory, simulations and experiment
  - ▶ for lepton collider, need detailed computations of the effect of beam & bunch design and control